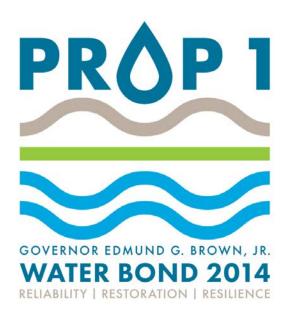
# STATE COASTAL CONSERVANCY

# PROPOSITION 1 PROPOSAL SOLICITATION





Grants Funded by the Water Quality, Supply, and Infrastructure Improvement Act of 2014

Proposals for projects that protect & enhance anadromous fish habitat

**Applications due December 31, 2015** 

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Appendix A: Grant Application

A.

- B. Appendix B: Chapter 6 of Proposition 1
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### I. Introduction

# A. State Coastal Conservancy

The State Coastal Conservancy ("Conservancy") is a state agency, established in 1976, to work proactively with local communities to implement multi-benefit projects that protect and enhance coastal resources. The Conservancy works along the entire length of California's coast, within the watersheds of rivers and streams that extend inland from the coast, and throughout the nine-county San Francisco Bay Area.

Every five years, the Conservancy adopts a Strategic Plan, which identifies the Conservancy's goals and specific objectives. The current <u>Strategic Plan</u> was adopted in 2013 to guide the Conservancy's work through 2018. The Conservancy <u>adopted an update to its Strategic Plan</u> that identified four priorities for Proposition 1 expenditure on June 25, 2015. This grant round seeks to fund projects that protect and enhance anadromous fish habitat; one of the four priorities.

This Conservancy Proposition 1 Proposal Solicitation seeks projects that protect and enhance anadromous fish habitat. Other project types will not be considered in this grant round.

#### **B.** Proposition 1

The Water Quality, Supply, and Infrastructure Improvement Act of 2014 ("Proposition 1") was approved by voters in November 2014. Proposition 1 is codified as Division 26.7 of the Water Code. The purposes of Proposition 1 include generating funding to address water quality, water supply and watershed protection and restoration. Chapter 6 of Proposition 1 allocates \$100.5 million to the Conservancy for competitive grants for multi-benefit ecosystem and watershed protection and restoration projects, Water Code Section 79731(j). The full text of Chapter 6 of Proposition 1 is attached as Appendix B.

#### C. Solicitation Schedule

The Conservancy anticipates holding four Proposition 1 solicitations each year. The schedule for the Proposition 1 solicitations is posted on our website. The schedule for this grant round is as follows:

Solicitation Released	November 2, 2015	
Webinar	November 12, 2015 1pm	
Proposals due	December 31, 2015	
Evaluation	Jan-Feb 2016	
Board Meeting	May 2016	

If you want to attend the informational webinar email an rsvp to grants@scc.ca.gov.

All Proposition 1 grant will be awarded at a Coastal Conservancy board meeting. The specific meeting when a grant will be considered will depend on the meeting schedule, project readiness and staff capacity.

#### II. Solicitation Priorities

#### A. Protect and Enhance Anadromous Fish Habitat

The Conservancy adopted an update to its Strategic Plan that identified specific priorities for the expenditure of Proposition 1 funding. Based on the priority issues within our jurisdiction, reviewing existing state plans, and screening for projects that achieve multiple benefits, serve disadvantaged communities, and result in quantifiable outcomes, the Conservancy identified four priorities for Proposition 1 expenditures. More detailed analysis of the overlap of these criteria is provided in the Strategic Plan update. The four priorities are: Water Sustainability, Protect and Enhance Anadromous Fish Habitat, Wetland Restoration, and Urban Greening.

This solicitation is targeting projects that protect and enhance anadromous fish habitat. This includes projects that restore habitat, including flow needed for achieving the health of anadromous fish populations. Other project types will not be considered in this round.

Coastal salmon and steelhead are important to coastal ecosystem health. These fish provide an important food source, are culturally important to tribes, and an important part of the local economy in some coastal areas. The Conservancy will support projects that protect important watershed lands, remove high priority fish passage barriers, restore riparian, off channel or estuarine habitat, and secure instream flows with appropriate volume and temperature to support anadromous fish populations. These projects will increase available habitat and increase resilience of these populations to the potential impacts of climate change.

The Conservancy has supported many efforts to identify priority projects and to implement restoration projects to restore anadromous fish habitat. Estuarine restoration efforts have demonstrated significant biological response to enhancement efforts while providing multiple benefits to working lands. Removing fish passage barriers from tidewater to headwater is one of the simplest and most effective means to restore available habitat, particularly in an era of rising temperatures and increasing migration needs. Prioritization of barrier removal projects will be informed by the California Fish Passage Forum, and others, and in the San Francisco Bay Area by the San Francisco Estuary Watersheds Evaluation. The Conservancy has coordinated and will continue to coordinate with National Marine Fisheries Service, the California Department of Transportation and the California Department of Fish and Wildlife on identifying priorities.

Removal of fish barriers and restoration of fish habitat are specific purposes identified in Chapter 6 of Proposition 1. These projects will implement Action #4 in the California Water Action Plan - protect and restore important ecosystems. Consistent with the Safeguarding Plan, these projects will protect and restore water resources for important ecosystems. These projects advance the Conservancy's Strategic Plan Goals #5 and #11 by enhancing habitats, natural resources and watersheds.

# **B.** Project Eligibility

To be eligible for this round of Proposition 1 funding, projects must advance at least one of the purposes of Chapter 6 of Proposition 1 and protect or enhance anadromous fish habitat.

Proposition 1 funds must be spent consistent with the General Obligation Bond Law, Government Code Section 16727. In general, this means projects must entail the construction or acquisition of capital assets and/or activities that are incidentally but directly related to construction or acquisition, such as planning, design and engineering.

Proposition 1 contains additional provisions that may make some projects ineligible, these include:

- All projects funded by Proposition 1 must be consistent with the Porter-Cologne Water Quality Control Act (Division 7 of the Water Code) and the State's <u>five-year infrastructure plan</u> prepared pursuant to Government Code section 13100.
- Proposition 1 cannot be used to fund acquisitions of land by eminent domain. Water Code Section 79711(g).
- Proposition 1 funds may only be used for projects that will provide benefits or improvements that are greater than required applicable environmental mitigation measures or compliance obligations.
- Land acquisition projects, including acquisition of conservation easements, are eligible if they are consistent with the purposes of Chapter 6 of Proposition 1.
- Proposition 1 funds are appropriated to the Conservancy with a maximum of five years for expenditure. Projects must be ready to start work and able to be completed within a maximum of five years.

#### C. Environmental Documents and Permits

The Conservancy is required to comply with the California Environmental Quality Act (CEQA). Grant applicants should consider whether their proposed project will trigger the need for an environmental impact report or negative declaration or whether a CEQA exemption applies. How CEQA applies and the status of CEQA compliance must be addressed in the grant application.

Applicants are strongly encouraged to use expedited or simplified permitting approaches (summarized in Appendix E) where available and applicable.

#### D. Project Monitoring and Reporting

All grant applications must include a monitoring and reporting component that explains how the effectiveness of the project will be measured and reported. The monitoring and reporting component will vary depending on the nature of the project. If the project involves development of a CEQA document the Mitigation Monitoring and Reporting Program may be submitted for consideration as the approved project monitoring and reporting template. The grant application evaluation will assess the robustness of the proposed monitoring program. In addition, Conservancy staff will work with grantees to develop appropriate monitoring and reporting templates and procedures. For wetland and watershed restoration and protection projects, monitoring should be consistent with the State's Wetlands and Riparian Area Monitoring Plan (WRAMP), as applicable.

For fish passage projects the grantee shall at a minimum utilize the <u>National Oceanic and Atmospheric</u> <u>Administration Restoration Center's Fish Passage Barrier Removal Performance Measures and Monitoring Worksheet</u> (Phase I). The checklist is appropriate for projects in which the primary goal is to restore natural stream conditions and unrestricted migratory fish passage to upstream habitat.

# III. Eligibility and Required Criteria

# A. Eligible Grantees

Eligible applicants for Proposition 1 grant funding from the Conservancy are:

- Public agencies as defined in Proposition 1: any state agency or department, special district, joint powers authority, city, county, city and county, or other political subdivision of the state.
- Any private, nonprofit organization that qualifies under Section 501(c) (3) of the United States
  Internal Revenue Code, and whose purposes are consistent with the Conservancy's enabling
  legislation (Division 21 of the Public Resources Code).
- Indian Tribes that are either federally recognized or listed on the Native Heritage Commission's California Tribal Consultation List.
- Public utilities and mutual water companies; projects proposed by this type of applicant must have a clear and definite public purpose and must benefit the customers of the water system and not the investors. Additional eligibility requirements apply to any eligible grantee that is also an urban water supplier or an agricultural water supplier, as set forth in Proposition 1, Water Code Section 79712(b).

# **B.** Coastal Conservancy Jurisdiction

The Conservancy supports projects within coastal draining watersheds and in the entirety of the nine-county Bay Area region. The Conservancy also has specific authority to work in the Santa Ana River watershed to implement the Santa Ana River Conservancy Program.

# C. Conservancy Required Project Selection Criteria

The Conservancy has adopted *Project Selection Criteria and Guidelines*, last updated on October 2, 2014, (Appendix C) which set forth the evaluation criteria that the Conservancy uses for all of its grant programs. To be eligible for Conservancy funding, a project must meet the Conservancy's required project selection criteria:

- **Promotion of the Conservancy's statutory programs and purposes** (Division 21 of the Public Resources Code);
- Consistency with purposes of the funding source;
- Promotion and implementation of state plans and policies (specific plans and policies and the specific goals or objectives within those plans and polices that would be furthered by the project);
- Support from the public;
- Location (must benefit coastal, ocean resources, or the San Francisco Bay region);
- Need (desired project or result will not occur without Conservancy participation);
- Greater-than-local interest;
- Sea level rise vulnerability. (Consistent with Executive Order S-13-08, for new projects located in areas vulnerable to future sea level rise, planning shall consider a range of sea level rise scenarios in order to assess project vulnerability and, to the extent feasible, reduce expected risks and increase resiliency to sea level rise.)

# D. Priority for Projects that Benefit Disadvantaged Communities

Proposition 1 defines a disadvantaged community as "a community with an annual median household income that is less than 80 percent of the statewide annual median household income." (CA Water Code Section 79505.5.) Chapter 6 of Proposition 1 does not require that a specific portion of funding go to disadvantaged communities. However, the Conservancy will strive to ensure that a significant portion of its Proposition 1 funding benefit these communities.

The Department of Water Resources has developed an online <u>map viewer</u> which enables the public to see the boundaries of the disadvantaged communities, based on census data including the American Community Survey. Communities are defined at different geographic scales, including county, census tract and census place.

# E. Promotion and Implementation of State Plans and Policies

Priority will be given to projects that implement state plans and policies. The Conservancy's project selection criteria require that all Conservancy projects be consistent with statewide plans and priorities, see Section III.C below. Proposition 1 requires that projects be consistent with the goals indentified in the California Water Action Plan. Links to key plans are provided in Appendix D.

# F. Leveraging Funds

The Conservancy will award additional points to applicants with significant matching funds. The amount of leveraged funding will be specifically identified in every staff recommendation for potential approval by the Conservancy Board. The Conservancy will provide a summary of the total leverage of Conservancy funds from all its grant programs in an annual financial report to the Conservancy Board.

# IV. Grant Application Process and Timeline

# A. Project Solicitation Period

The Proposals Solicitation period for this round of funding will be from November 2 until December 31, 2015. Grant applications must be submitted during the solicitation period.

# Grant applications must be received by 5pm on December 31, 2015.

The Conservancy will hold one informational webinar on November 12<sup>th</sup> at 1pm. If you are interested in attending this webinar, please email grants@scc.ca.gov.

# **B. Pre-Proposal Consultation**

Applicants are strongly encouraged to consult with Conservancy staff during the project solicitation period, prior to submitting their applications. Pre-proposal consultation will be available to any potential applicant but will not be required. Conservancy staff will be available to discuss projects and proposal-related questions; they will not be able to review full proposals before they are submitted.

Please contact the appropriate Regional Manager from the list below, listed from North to South:

North Coast: Del Norte County to coastside Sonoma and Marin Counties

Karyn Gear: karyn.gear@scc.ca.gov, 510-286-4171.

San Francisco Bay Area: Nine Bay Area Counties, excluding the coastside of Sonoma, Marin, and San

**Mateo Counties** 

Amy Hutzel: amy.hutzel@scc.ca.gov, 510-286-4180

Central Coast: coastside San Mateo County to Santa Barbara County

Trish Chapman: trish.chapman@scc.ca.gov, 510-286-0749

South Coast: Ventura County to San Diego County

Joan Cardellino: joan.cardellino@scc.ca.gov, 510-286-4093

# C. Grant Application

The grant application form and instructions for completing it are posted on the Conservancy's attached as Appendix A. Applications should be emailed to grants@scc.ca.gov. If you are unable to email your application, you may send the electronic files on a CD or other common electronic storage device. Mail the files to: State Coastal Conservancy - 1330 Broadway, Suite 1300 - Oakland, CA 94612.

All information that you submit is subject to the unqualified and unconditional right of the Conservancy to use, reproduce, publish, or display, free of charge. Please indicate if crediting is requested for any of the photos and/or maps.

The application requires the following information:

- 1. **Project Description** Provide a clear, detailed description of the project proposed for Conservancy funding. The project description should include the following sections:
  - a. **Need for the project.** Describe the specific problems, issues, or unserved needs the project will address.
  - b. **Goals and objectives.** The goals and objectives should clearly define the expected outcomes and benefits of the project.
  - c. **Project Description.** A brief description of what the project will accomplish and the specific work that will be done.
  - d. **Site Description.** Describe the project site or area, including site characteristics that are tied to your project objectives (i.e.: for acquisition of habitat, describe current vegetation assemblages, condition of habitats, known wildlife migration corridors, etc.). When relevant, include ownership and management information.
  - e. **Specific Tasks.** Identify the specific tasks that will be undertaken and the work that will be accomplished for each task.
  - f. **Work Products.** List the specific work products or other deliverables that the project will result in.
  - g. **Measuring Success.** For projects involving restoration, enhancement, construction or land acquisition, describe the plan for monitoring, evaluating and reporting project effectiveness, and implementing adaptive management strategies if necessary. Who will be responsible for funding and implementing ongoing management and monitoring?

Project monitoring for fish passage projects shall include at a minimum completion of the National Oceanic and Atmospheric Administration Restoration Center's Fish Passage Barrier Removal Performance Measures and Monitoring Worksheet (Phase I), which was developed to collect both pre-implementation and post-implementation information to assess fish passage project progress. The checklist is appropriate for projects in which the primary goal is to restore natural stream conditions and unrestricted migratory fish passage to upstream habitat.

- 2. **Project Graphics**: Provide the following project graphics with your application. Project maps and design plans should be combined into one pdf file with a maximum size of 5MB. Project photos should be provided in jpg format.
  - Regional Map -- Clearly identify the project's location in relation to prominent area features
    and significant natural and recreational resources, including regional trails and protected
    lands.
  - **Site-scale map** Show the location of project elements in relation to natural and man-made features on-site or nearby. Any key features discussed in project description should be shown.
  - **Design Plan** Construction projects should include one or more design drawings or graphics indicating the intended site improvements.
  - Site Photos One or more clear photos of the project site.
- 3. Preliminary Budget and Schedule The preliminary budget and schedule must include a line item for every task identified in the project description. For each task, applicants should provide the estimated completion date, estimated total cost of the task, amount requested from the Conservancy, and amount that will be provided by other funding sources. The task list in the Preliminary Budget and Schedule must match the task list provided in the project description.
- **4. Proposition 1 Supplemental Questions** These questions are for applicants for Proposition 1 funding to help reviewers determine how well the application meets the evaluation criteria.
- **5. Conservation Corps Consultation** For restoration and ecosystem protection projects, Proposition 1 requires that the grantee use state and local conservation corps services if feasible. Grantees must submit a completed Corps Consultation Review Document, provided in the Grant Application.

Applications should be emailed to the Conservancy. If the combined size of all the files is greater than 10 MB, please send files in separate email messages (email messages over 10 MB in size will be rejected by our server).

# G. Application Review Period

The Conservancy expects that it will take an average of six months from application submittal to Conservancy board approval and an additional month for execution of the grant agreement.

#### H. Grant Awards

Grants will be awarded once a project is approved by the Coastal Conservancy Board at a public meeting. Conservancy staff will determine which qualified applications to recommend to the Conservancy Board for funding and the amount of funding, taking into account the project's score relative to other eligible projects, the total amount of funding available for Proposition 1 projects, the urgency of the project relative to other eligible projects, the Conservancy's Strategic Plan, and the application of the Conservancy's Required and Additional Project Selection Criteria.

The Conservancy typically holds five public meetings per calendar year. Each year's meeting schedule is published on the Conservancy's website. The agenda for each public meeting will be published on the Conservancy's website ten days in advance of the meeting. Conservancy staff will prepare a report for each proposed grant presented to the Conservancy Board at a public meeting. The staff report will describe the project and explain how the project is consistent with the Conservancy's enabling legislation, the Conservancy Program Guidelines, the Conservancy's Strategic Plan and the evaluation criteria in these Proposition 1 Grant Program Guidelines.

# V. Application Evaluation and Scoring

# A. Application Screening

Grant applications will be initially reviewed for completeness. Incomplete grant applications will be returned to the applicant. Grantees may choose to complete the application and resubmit. Conservancy staff will screen complete grant applications to ensure that:

- The project meets at least one of the Chapter 6 Purposes (Appendix B);
- The project is consistent with the Conservancy's Strategic Plan and required grant selection criteria (Appendix C);
- The project consists of work that is eligible for bond funds under the General Obligation Bond Law;
- The grantee is an eligible entity;
- For restoration and ecosystem protection projects, the grantee has consulted with the state and local conservation corps and included their services if feasible. Grantees must submit a completed Corps Consultation Review Document, provided in Grant Application, Appendix A.

Applications that do not pass the screening process will not proceed to the scoring process. The Conservancy has discretion to either return the application or assist the applicant with gathering additional information and modifying the proposal to enable the application to pass the screening process.

#### **B.** Scoring

Complete grant applications that have passed the screening process will be evaluated and scored using the Evaluation Scoring Criteria set forth below. An application must achieve an average score of 75 or better to qualify for a grant.

Complete applications that have passed the screening process will be reviewed and scored by a minimum of three professionals with relevant expertise. Reviewers may include state and federal agency staff and others with relevant expertise, including consultants and academics. All reviewers other than SCC staff will be required to document that they do not have a conflict of interest in reviewing any proposals. If there is a significant discrepancy in the scoring by the three reviewers, additional reviewers may score the proposal. The average score will be the average of all reviews.

# **C.** Evaluation Scoring Criteria:

Criteria	Points
The extent to which the project achieves one or more of the purposes of Chapter 6 of Proposition 1.	15
The extent to which the application includes a complete, reasonable and well thought out proposed scope of work, budget and schedule.	15
The extent to which the project provides multiple benefits.	10
The extent to which the project benefits a disadvantaged community as defined in the Water Code § 79702(j).	8
The extent to which the project promotes and implements the California Water Action Plan, other state plans and policies, and relevant regional water plans.	8
The extent to which the applicant demonstrates experience successfully implementing similar projects or demonstrates appropriate and necessary partnerships to complete the project.	8
The extent to which the applicant demonstrates that project goals have community support.	8
Whether the project is consistent with best available science.	8
The extent to which the project leverages the resources of private, federal or local funding sources. Projects that have at least 25% matching funds will receive 3 points. Projects with greater than 50% matching funds will receive 5 points.	5
The extent to which the applicant demonstrates a clear and reasonable method for measuring and reporting the effectiveness of the project.	5
The extent to which the project employs new or innovative technology or practices.	5
The extent to which the project will deliver sustainable outcomes in the long-term.	5
	100
Bonus Points	
Matching funds >100%	5

# VI. Additional Information

# A. Available Funding

The Conservancy received an appropriation of \$15 million of Proposition 1 in the 2015/16 fiscal year. The Conservancy anticipates releasing four solicitations this fiscal year, the amount awarded in each

solicitation will depend on the quality of the applications and the needs of the project. The total awarded in FY 2015/16 will not exceed \$15 million.

# **B.** Additional Project Considerations

- Agencies acquiring land may use the Natural Heritage Preservation Tax Credit Act of 2000 (Division 28 of the Public Resources Code. Water Code Section 79711(h)).
- Where appropriate, grantees will be required to provide signage informing the public that the project received Proposition 1 funding. This requirement will be addressed in the grant agreement.
- In administering Proposition 1 funds, the Conservancy will take into account the state's policy that every human being has a right to "safe, clean, affordable, and accessible water adequate for human consumption, cooking, and sanitary purposes" (Water Code Sec. 106.3).

# C. Typical Grant Agreement Terms

Once the Conservancy has approved a grant at a public meeting, Conservancy staff will prepare a grant agreement setting forth the terms and conditions of the grant. The grantee must sign the grant agreement and comply with all of its conditions in order to receive funds.

Preparation and finalization of a Grant Agreement usually takes at least three weeks. Five copies of the Grant Agreement are sent to the grantee for signatures, and all five must be sent back to the Conservancy. The Executive Officer signs each copy and one fully executed copy is sent back to the grantee.

It is important that the person administering the project for the grantee be familiar with the procedures and requirements of the Grant Agreement. There are several steps and requirements for grantees receiving Coastal Conservancy funding. To help prospective grantees understand the process, listed below are the typical requirements for receiving funds from the State Coastal Conservancy. It may be useful for the grantee to arrange a meeting with the Conservancy Project Manager early in the project to review the Grant Agreement conditions.

#### **Typical Conditions and Requirements of Conservancy Grants**

- The Conservancy typically reimburses grantees for expenses after they are incurred. This means the grantee will have to cover the costs of the project between the time the expenses are incurred and they get paid by the Conservancy. It typically takes about 6 weeks between the time an invoice is received at the Conservancy and the payment is received by the grantee.
- Expenses incurred before the Grant Agreement with the Conservancy is executed cannot typically be billed to the grant.
- The Conservancy typically withholds 5% of the invoiced amount until the project is complete.
- The Conservancy usually limits overhead to 15%.
- Grantees are typically responsible for operation, maintenance and monitoring of completed projects for 20 years.

Grants to nonprofit organizations for work on property not owned by the nonprofit require an
agreement between the landowner, the nonprofit and the Conservancy to protect the public
interest in the project.

All Conservancy grantees should expect to be audited by the State of California. It is the grantees responsibility to maintain all necessary records to substantiate and document all payments made pursuant to a Conservancy grant. If a grantee cannot provide adequate records when they are audited, they may be required to repay grant funds. The Conservancy now requires nonprofit grantees to submit the Nonprofit Organization Pre-Award Questionnaire (Appendix D) to help flag any potential issues with accounting and record keeping before the grantee begins work. Technical assistance may be available to help grantees meet all of the state's accounting requirements.

The Grant Agreement describes these and other requirements in greater detail and will be the controlling document. If there are any questions about the Grant Agreement, discuss them with the Conservancy Project Manager. Close review of and compliance with the Grant Agreement is essential and is the grantee's responsibility.

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**CONTACT INFO** 

# APPENDIX A PROPOSITION 1 GRANT APPLICATION – COVER PAGE

Organization						
<b>Contact Person</b>					Email	
Phone					Fax	
Address						
PROJECT INFO						
Project Name						
Summary						
				1		I.a.
Total Project Cost	\$				Amount Requested	\$
Start Date					End Date	
Project Type	Plann	inσ		Acquisi		☐Implementation/Construction
(check all that		_		-	tural Preserva	
apply)	Habit	at Conservatio	n/En	hancen	nent	Urban Greening
	☐ Urban Waterfront					
Are you applying	to any otl	her Prop 1 fund	ded g	grants?	Yes	No. If yes, which agency(ies)?
Acres		Trail Miles			APNs (Acquisition Only)	
		<u> </u>		•		
LOCATION INFO						
County				Specif	fic Location	
Latitude					Longitude	
Format: 33.3333				-	at:-111.1111	
What point is represented by the lat/longs						
(eg., par	rking lot, o	center of site,	etc):			
ELECTED OFFICIAL	C					
	-5	Number (a)		Namala	`	
Districts State Senate		Number(s)	+	Name(s	1	
State Senate  State Assembly			$\perp$			
			+			
Congressional						

#### **GRANT APPLICATION – PROJECT DESCRIPTION**

Complete each of the elements of the project description below with clear, but detailed answers. Limit your response to this section to no more than four pages if possible.

- 1. **Need for the project.** Describe the specific problems, issues, or unserved needs the project will address.
- 2. **Goals and objectives.** The goals and objectives should clearly define the expected outcomes and benefits of the project.
- 3. **Project Description.** Describe all of the major project components (i.e., what will actually be done to address the need and achieve the goals and objectives).
- 4. **Site Description.** Describe the project site or area, including site characteristics that are tied to your project objectives (i.e.: for acquisition of habitat, describe current vegetation assemblages, condition of habitats, known wildlife migration corridors, etc.). When relevant, include ownership and management information.
- 5. **Specific Tasks.** Identify the specific tasks that will be undertaken and the work that will be accomplished for each task.

#	Task Name	Description
1		
2		
3		
4		
5		
6		
7		

Add or delete rows as necessary.

- 6. Work Products. List the specific work products or other deliverables that the project will result in.
- 7. **Measuring Success.** For projects involving restoration, construction or land acquisition, describe the plan for monitoring, evaluating and reporting project effectiveness, and implementing adaptive

management strategies if necessary. Who will be responsible for funding and implementing ongoing management and monitoring?

- 8. **Project Maps and Graphics.** Provide the following project graphics with your application. Project maps and design plans should be combined into one pdf file with a maximum size of 10 mb. Project photos should be provided in jpg format.
  - Regional Map Clearly identify the project's location in relation to prominent area features and significant natural and recreational resources, including regional trails and protected lands.
  - Site-scale map Show the location of project elements in relation to natural and man-made features on-site or nearby. Any key features discussed in project description should be shown.
  - Design Plan Construction projects should include one or more design drawings or graphics indicating the intended site improvements.
  - Site Photos One or more clear photos of the project site



#### **GRANT APPLICATION – PRELIMINARY BUDGET AND SCHEDULE**

In the budget matrix below, relist the tasks identified in #4 above and for each provide: 1) the estimated completion date for the task, 2) the estimated cost of the task, and 3) the funding sources (applicant, Conservancy, and other) for the task. The table will automatically sum the totals for each row and column. To do this, highlight the whole table and hit F9.

Task Number	Task	Completion Date	Applicant's Funding	Coastal Conservancy	Other Funds	Total Cost
1						\$ 0
2						\$ 0
3						\$ 0
4						\$ 0
5						\$ 0
6						\$ 0
7						\$ 0
						\$ 0
						\$ 0
						\$ 0
						\$ 0
						\$ 0
TOTAL			\$ 0	\$ 0	\$ 0	\$ 0

#### **In Kind Services**

In-kind services or contributions include volunteer time and materials, bargain sales, and land donations. Describe and estimate the value of expected in-kind services.

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### PROPOSITION 1 GRANT APPLICATION – ADDITIONAL INFORMATION

For each question unless otherwise specified, please limit your answer to one concise paragraph. See grant application instructions for more information. For question #6, limit your answer to 1-3 sentences per relevant plan. Questions 1-7 should be answered by all applicants. For questions 8-11, enter "not applicable" if the question does not pertain to your project.

1.	-	<b>ition 1 Goals.</b> Which of the following purposes of Chapter 6 of Proposition 1 are achieved by bject (check all that apply):
		Protect and increase the economic benefits arising from healthy watersheds, fishery resources and in-stream flow.
		Implement watershed adaptation projects for which Grantee has consulted with the state and local conservation corps and included their services if feasible (for restoration and ecosystem protection projects only). Grantees must submit a completed Corps Consultation Review Document. The process for obtaining this required consultation is described in Appendix D.
		Restore river parkways throughout the state, including but not limited to projects pursuant to the California River Parkways Act of 2004 and urban river greenways.
		Protect and restore aquatic, wetland and migratory bird ecosystems including fish and wildlife corridors and the acquisition of water rights for in-stream flow.
		Fulfill the obligations of the state of California in complying with the terms of multiparty settlement agreements related to water resources.
		Remove barriers to fish passage.
		Collaborate with federal agencies in the protection of fish native to California and wetlands in the central valley of California.
		Implement fuel treatment projects to reduce wildfire risks, protect watersheds tributary to water storage facilities and promote watershed health.
		Protect and restore rural and urban watershed health to improve watershed storage capacity, forest health, protection of life and property, storm water resource management, and greenhouse gas reduction.
		Protect and restore coastal watersheds including but not limited to, bays, marine estuaries, and near shore ecosystems.
		Reduce pollution or contamination of rivers, lakes, streams, or coastal waters, prevent and remediate mercury contamination from legacy mines, and protect or restore natural system functions that contribute to water supply, water quality, or flood management.
		Assist in the recovery of endangered, threatened, or migratory species by improving watershed health, instream flows, fish passage, coastal or inland wetland restoration, or other means, such as natural community conservation plan and habitat conservation plan implementation.
		Assist in water-related agricultural sustainability projects.

- 2. **Regional Significance:** Describe the regional significance of the project with respect to recreation (regional trails and parks, staging areas, environmental education facilities, etc.), agricultural resources, and natural resources (including listed species, identified high priority habitat, wildlife corridors, watersheds, and agricultural soils).
- 3. **Sustainability.** Described how the project will deliver sustainable outcomes in the long-term.
- 4. Disadvantaged Communities. Does the project benefit a disadvantaged community? Proposition 1 defines a disadvantaged community as "a community with an annual median household income that is less than 80 percent of the statewide annual median household income." (CA Water Code Section 79505.5.) The Department of Water Resources has developed an online map viewer which shows the maps of California's disadvantaged communities, based on census data including the American Community Survey. Communities are defined at different geographic scales, including county, census tract and census place.

If yes, specify which community and how it will be benefited by the project.

- 5. Consistency with State Coastal Conservancy Strategic Plan (as revised June 2015): Identify which goals and objectives of the California State Coastal Conservancy the project will promote or implement and quantify how much progress the project will make towards the Conservancy's numeric goals for each cited objective.
- 6. Consistency with California Water Action Plan.
  - a. Identify which goals of the California Water Action plan the project will promote or implement.
  - b. Identify the Integrated Watershed Management Plan(s) and/or any other regional or watershed plans that apply to the specific project area. For each, list those goals, objectives, priority actions, etc. that the project will promote or implement.

7.	Consistency with Other State Plans. If the proposed project will help to implement or promote the
	goals of any of the other State Plans listed below, check that plan and specify which goals,
	objectives, priority actions, etc. will be furthered by the project.

☐ California @ 50 Million: The Environmental Goals and Policy Report
☐ CA Climate Adaptation Strategy/Safeguarding California: Reducing Climate Risk Plan
☐ CA Wildlife Action Plan
☐ California Aquatic Invasive Species Management Plan
$\square$ California Essential Habitat Connectivity Strategy for Conserving a Connected California
☐ State and Federal Species Recovery Plans (specify the plan)
☐ Habitat Conservation Plans/Natural Community Conservation Plans (specify the plan)

		Completing the California Coastal Trail
		Other relevant state or regional plan(s) (specify the plan)
8.	Best Av	railable Science. Describe how the project is consistent with best available science.
9.	flooded flooding and 55 Rise Ta	rel Rise Vulnerability: If the project involves a site that is close to a shoreline (i.e. potentially or eroded due to climate change), please identify vulnerabilities of the site in relation to g, erosion, and sea level rise/storm surges for the years 2050 and 2100 (assume 16 inches inches of sea level rise respectively). For reference, see the State of California's Sea Level sk Force Interim Guidance Document. Describe any adaptive management approaches you ensidered for addressing Sea Level Rise. Specify the expected lifespan or duration of the
10.	Change project etc.) fro	ability from Climate Change Impacts Other than Sea Level Rise: Using Exhibit F: Climate Guidance, and the latest regional scenarios, predictions and trends, describe how the objectives or project may be vulnerable to impacts (fire, drought, species and habitat loss, or climate change, other than sea level rise, coastal erosion or flooding. Identify design, or other measures incorporated into the project to reduce these vulnerabilities.
11.	accorda that wi project propose providi significa	amental Review: Projects funded by the Coastal Conservancy must be reviewed in since with the California Environmental Quality Act ("CEQA"). CEQA does not apply to projects I not have either a direct or indirect effect on the environment. For all other projects, if the is statutorily or categorically exempt under CEQA, no further review is necessary. If the ed project is not exempt, it must be evaluated by a public agency that is issuing a permit, ng funding, or approving the project, to determine whether the activities may have a ent effect on the environment. The evaluation results in a "Negative Declaration (Neg Dec)," ted Negative Declaration (MND)," or "Environmental Impact Report."
	The	proposed project (select the appropriate answer):
		Is not a project under CEQA. Briefly specify why.
		Is exempt under CEQA. Provide the CEQA exemption number and specify how the project meets the terms of the exemption.
		Requires Neg Dec, MND, or EIR. Specify the lead CEQA agency (the agency preparing the document) and the (expected) completion date. Please note that the Conservancy will need to review and approve any CEQA document. For more information on CEQA, visit: <a href="http://ceres.ca.gov/topic/env_law/ceqa/flowchart/index.html">http://ceres.ca.gov/topic/env_law/ceqa/flowchart/index.html</a> .

☐ California Coastal Sediment Management Master Plan

12. **Willing Seller:** Projects that involve acquisition of property must involve a willing seller. If your project includes property acquisition, please describe the status and expected conclusion of

landowner negotiations.

- **13. Project and Applicant History:** Provide a history of the project, and any background information not provided in the project description. Is the project related to any previous or proposed Coastal Conservancy projects? If so, which ones and how are they related?
- 14. **Support:** List the public agencies, non-profit organizations, elected officials, and other entities and individuals that support the project.
- 15. **New Technology.** Does the project employ new or innovative technology or practices? If yes, describe those technologies and/or practices.
- 16. **Need for Conservancy Funds:** What would happen to the project if no funds were available from the Conservancy? What project opportunities or benefits could be lost and why if the project is not implemented in the near future?
- 17. **Greenhouse Gas Emissions/Climate Change:** If the proposed project will result in production of greenhouse gas emissions (including construction impacts and vehicle miles travelled as part of a public access component), describe the measures your project includes to reduce, minimize or avoid greenhouse gas emissions through project design, implementation construction, or maintenance (Refer to Exhibit F: Climate Change Guidance for resources on Best Management Practices and green building techniques and materials). What, if any, are the possible sources or sinks of greenhouse gases for your project, such as carbon sequestration from habitats at the site? If one of the project goals is to sequester carbon (reduce greenhouse gas concentrations), how do you intend to ensure continued long term sequestration while achieving project objectives? Do you have any plans to seek carbon credits for the carbon sequestration activities on the project site?



#### **GRANT APPLICATION CHECKLIST**

A complete	application will consist of the following files:				
	Cover Letter (option) – no more than one page.				
	Grant application form (in Microsoft word or rtf format), includes:				
	o cover page				
	o project description				
	o preliminary budget and schedule				
	o additional information				
	Project maps and design plans (in one pdf file, 10 MB maximum size)				
	Project photos (in jpg format)				
	Conservation Corps Consultation Review Document (Attachment 1 below) sign by the Corps				
	For Nonprofit Organizations, please submit completed Nonprofit Organization Pre-Award				
	Questionnaire (Attachment 2 below)				
may send t	s should be emailed to: grants@scc.ca.gov. If you are unable to email your application, you ne electronic files on a CD or other common electronic storage device. Mail the files to:				
tate Coastal Conservancy 1330 Broadway, Suite 1300 Oakland, CA 94612					

Grant applications must be received by the Coastal Conservancy by 5pm on Dec. 31, 2015.

#### ATTACHMENT 1 – CONSERVATION CORPS CONSULTATION

Applicants for grants from the Conservancy for restoration and ecosystem protection projects <u>shall</u> consult with representatives of the California Conservation Corps (CCC) and the California Association of Local Conservation Corps (CALCC), the entity representing the certified community conservation corps, (collectively, "the Corps") to determine the feasibility of the Corps participation.

The Corps have already determined that it is **not feasible** to use their services on restoration and ecosystem protection projects that solely involve either planning or acquisition. Applications for these projects are exempt from the consultation requirement. All other applications will be considered incomplete unless they include the Corps consultation described below.

Grantees awarded funds to undertake a project where it has been determined that Corps services can be used will be required to include the Corps in the scope of work as a condition of the Conservancy's grant agreement unless the Corps subsequently declines to participate in the project.

Applicants are encouraged to contact the CCC/CALCC representatives to discuss feasibility as early in the project development process as possible. The Corps will complete its review in 5 business days, but will not be able to review project applications in less than 5 business days. The process for consultation is provided below:

Step 1:	Prior to submittal of an application, the Grante  ☐ Project Title  ☐ Project Description (identifying key project a  ☐ Project Map (showing project location)  ☐ Project Implementation estimated start and	ctivities and deliverables)			
Step 2:	Grantee submits the information via email concurrently to the CCC and CALCC representatives:				
	<u>California Conservation Corps</u> Email: <u>Prop1@ccc.ca.gov</u>	Send to: CCC Proposition 1 Coordinator Phone: (916) 341-3100			
	<u>CA Association of Local Conservation Corps</u> Email: <u>inquiry@prop1communitycorps.org</u>	Send to: Crystal Muhlenkamp Phone: 916-426-9170 ext. 0			
Stan 3.	Both the CCC and CALCC representatives will re	eview the submitted information, contact			

- Step 3: Both the CCC and CALCC representatives will review the submitted information, contact the Grantee if necessary, and respond to the applicant with a Corps Consultation Review Document indicating:
  - (1) It is NOT feasible for CCC and/or certified community conservation corps services to be used on the project; or
  - (2) It is feasible for the CCC and/or certified community conservation corps services to be used on the project and identifying the aspects of the project that can be accomplished with Corps services.
  - Step 4: Grantees submits includes Corps Consultation Review Document in the Conservancy Grant Application.

#### **CORPS CONSULTATION REVIEW DOCUMENT**

Within five (5) business days of receiving the project information, both the CCC and CALCC representatives will review the submitted information, contact the applicant if necessary, and respond to the applicant with this Corps Consultation Review Document. Two copies of this Corps Consultation Review Document (one completed by the CCC and one from the CALCC) must be included in applications to the Conservancy for Proposition 1 funded grants for restoration and ecosystem protection projects.

1. Name of A	Applicant: Project Title:
Department	t/Conservancy to which you are applying for funding:
Is this applic	pleted by Applicant: cation solely for planning or acquisition? Yes (application is exempt from the requirement to consult with the Corps) No (proceed to #2)
This Consult   The	bleted by Corps:  Itation Review Document is being prepared by:  California Conservation Corps (CCC)  Ifornia Association of Local Conservation Corps (CALCC)
	nt has submitted the required information by email to the California Conservation Corps (CC nia Association of Local Conservation Corps (CALCC):
	Yes (applicant has submitted all necessary information to CCC and CALCC)
	No (applicant has not submitted all information or did not submit information to both Cor – application is deemed non-compliant)
3. After con	nsulting with the project applicant, the CCC and CALCC has determined the following:
	It is NOT feasible for CCC and/or certified community conservation corps services to be us on the project (deemed compliant)
	It is feasible for the CCC and/or certified community conservation corps services to be use on the project and the following aspects of the project can be accomplished with Corps services (deemed compliant).

CCC AND CALCC REPRESENTATIVES WILL RETURN THIS FORM AS DOCUMENTION OF CONSULTATION BY EMAIL TO APPLICANT WITHIN FIVE (5) BUSINESS OF RECEIPT AS VERIFICATION OF CONSULTATION. APPLICANT WILL INCLUDE COPY OF THIS DOCUMENT AS PART OF THE PROJECT APPLICATION.

# ATTACHMENT 2. NON-PROFIT ORGANIZATION PRE-AWARD QUESTIONNAIRE

All nonprofit organizations must complete this questionnaire and include it in their application.

СО	NTACT INFO					
0	rganization					
Contact Person			Email			
Phone			Fax			
GE	NERAL INFORMATIO	ON				
1.	Please attach a cop	by of your most recent financial	reports with	your response to this o	question	naire.
2.	•	ancial audit within the last thre de a copy of the audit report.	e years by an	independent auditor?	Yes 🗌	No 🗌
3.	, ,	ation have appropriate segregat ocessing an entire financial tran		to prevent one	Yes 🗌	No 🗌
4.		ation have controls to prevent e pproved in your project budget	•	f funds in	Yes 🗌	No 🗌
5.	Does your organiza	ation have a conflict of interest	policy?		Yes 🗌	No 🗌
6.	How much unrestri	icted money does your organiza	ation raise anı	nually?		
7.	Is there a Finance Committee of the board of directors, or does the Board make all financial decisions?					
8.	What are the Treasurer's duties?					
CA	SH MANAGEMENT					
9.	Are grant funds acc	counted for through segregated	d accounts?		Yes 🗌	No 🗌
10.	Are all disbursement or performance of	nts properly documented with service?	evidence of re	eceipt of goods	Yes 🗌	No 🗌
PA	YROLL					
11.		ation have a time reporting syst or and overhead charges billed	-	d to determine and	Yes 🗌	No 🗌
12.	Have you develope	ed procedures to ensure fair and	d competitive	contracting?	Yes 🗌	No 🗌
13.		ve system of identifying expend es to determine relevancy to inc			Yes 🗌	No 🗌

# PROPERTY MANAGEMENT

(Co	mplete this section, if State grants will be used to purchase physical assets.)		
14.	Are detailed records of individual capital assets kept and periodically balanced with the general ledger accounts?	Yes 🗌	No 🗌
15.	Are there effective procedures for authorizing and accounting for the disposal of property and equipment?	Yes 🗌	No 🗌
INE	DIRECT COSTS		
16.	Does the organization have an established methodology for calculating indirect costs or overhead?	Yes 🗌	No 🗌
17.	Is this used consistently for all grants and contracts?	Yes 🗌	No 🗌
CO	ST SHARING		
18.	Does the organization have a means to determine and document that it has met cost-sharing goals for each project?	Yes 🗌	No 🗌
19.	Do your financial records identify the receipt and expenditure of funds separately for each grant or contract?	Yes 🗌	No 🗌
СО	MPLIANCE		
20.	Does your organization have a formal system for complying with the payment of prevailing wages?	Yes 🗌	No 🗌
21.	Does your organization have a system in place to ensure it does not use contractors who may be suspended or debarred from receiving federal or state contracts?	Yes 🗌	No 🗌
	rtify that the above information accurately represent the organization of which I am resentative.	а	
 Nai	me of person completing questionnaire Title		
 Sig	 nature		

# Appendix B: Chapter 6 of Proposition 1

#### Protecting Rivers, Lakes, Streams, Coastal Waters, and Watersheds

#### 79730.

The sum of one billion four hundred ninety-five million dollars (\$1,495,000,000) shall be available, upon appropriation by the Legislature from the fund, in accordance with this chapter, for competitive grants for multibenefit ecosystem and watershed protection and restoration projects in accordance with statewide priorities.

#### 79731.

Of the funds authorized by Section 79730, the sum of three hundred twenty-seven million five hundred thousand dollars (\$327,500,000) shall be allocated for multibenefit water quality, water supply, and watershed protection and restoration projects for the watersheds of the state in accordance with the following schedule:

- (a) Baldwin Hills Conservancy, ten million dollars (\$10,000,000).
- (b) California Tahoe Conservancy, fifteen million dollars (\$15,000,000).
- (c) Coachella Valley Mountains Conservancy, ten million dollars (\$10,000,000).
- (d) Ocean Protection Council, thirty million dollars (\$30,000,000).
- (e) San Diego River Conservancy, seventeen million dollars (\$17,000,000).
- (f) San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy, thirty million dollars (\$30,000,000).
- (g) San Joaquin River Conservancy, ten million dollars (\$10,000,000).
- (h) Santa Monica Mountains Conservancy, thirty million dollars (\$30,000,000).
- (i) Sierra Nevada Conservancy, twenty-five million dollars (\$25,000,000).
- (j) State Coastal Conservancy, one hundred million five hundred thousand dollars (\$100,500,000). Eligible watersheds for the funds allocated pursuant to this subdivision include, but are not limited to, those that are in the San Francisco Bay Conservancy region, the Santa Ana River watershed, the Tijuana River watershed, the Otay River watershed, Catalina Island, and the central coast region.
- (k) Sacramento-San Joaquin Delta Conservancy, fifty million dollars (\$50,000,000).

- (a) In protecting and restoring California rivers, lakes, streams, and watersheds, the purposes of this chapter are to:
  - (1) Protect and increase the economic benefits arising from healthy watersheds, fishery resources, and instream flow.
  - (2) Implement watershed adaptation projects in order to reduce the impacts of climate change on California's communities and ecosystems.
  - (3) Restore river parkways throughout the state, including, but not limited to, projects pursuant to the California River Parkways Act of 2004 (Chapter 3.8 (commencing with Section 5750) of Division 5 of the Public Resources Code), in the Urban Streams Restoration Program established pursuant to Section 7048, and urban river greenways.
  - (4) Protect and restore aquatic, wetland, and migratory bird ecosystems, including fish and wildlife corridors and the acquisition of water rights for instream flow.

- (5) Fulfill the obligations of the State of California in complying with the terms of multiparty settlement agreements related to water resources.
- (6) Remove barriers to fish passage.
- (7) Collaborate with federal agencies in the protection of fish native to California and wetlands in the central valley of California.
- (8) Implement fuel treatment projects to reduce wildfire risks, protect watersheds tributary to water storage facilities, and promote watershed health.
- (9) Protect and restore rural and urban watershed health to improve watershed storage capacity, forest health, protection of life and property, stormwater resource management, and greenhouse gas reduction.
- (10) Protect and restore coastal watersheds, including, but not limited to, bays, marine estuaries, and nearshore ecosystems.
- (11) Reduce pollution or contamination of rivers, lakes, streams, or coastal waters, prevent and remediate mercury contamination from legacy mines, and protect or restore natural system functions that contribute to water supply, water quality, or flood management.
- (12) Assist in the recovery of endangered, threatened, or migratory species by improving watershed health, instream flows, fish passage, coastal or inland wetland restoration, or other means, such as natural community conservation plan and habitat conservation plan implementation.
- (13) Assist in water-related agricultural sustainability projects.
- (b) Funds provided by this chapter shall only be used for projects that will provide fisheries or ecosystem benefits or improvements that are greater than required applicable environmental mitigation measures or compliance obligations.

#### 79733.

Of the funds made available by Section 79730, the sum of two hundred million dollars (\$200,000,000) shall be administered by the Wildlife Conservation Board for projects that result in enhanced stream flows.

#### 79734.

For restoration and ecosystem protection projects under this chapter, the services of the California Conservation Corps or a local conservation corps certified by the California Conservation Corps shall be used whenever feasible.

- (a) Of the funds authorized by Section 79730, one hundred million dollars (\$100,000,000) shall be available, upon appropriation by the Legislature, for projects to protect and enhance an urban creek, as defined in subdivision (e) of Section 7048, and its tributaries, pursuant to Division 22.8 (commencing with Section 32600) of, and Division 23 (commencing with Section 33000) of, the Public Resources Code and Section 79508.
- (b) (1) Of the funds authorized by Section 79730, twenty million dollars (\$20,000,000) shall be made available to the secretary for a competitive program to fund multibenefit watershed and urban rivers enhancement projects in urban watersheds that increase regional and local water self-sufficiency and that meet at least two of the following objectives:
  - (A) Promote groundwater recharge and water reuse.
  - (B) Reduce energy consumption.
  - (C) Use soils, plants, and natural processes to treat runoff.
  - (D) Create or restore native habitat.

- (E) Increase regional and local resiliency and adaptability to climate change.
- (2) The program under this subdivision shall be implemented by state conservancies, the Wildlife Conservation Board, the state board, or other entities whose jurisdiction includes urban watersheds, as designated by the secretary. Projects funded under the program shall be a part of a plan developed jointly by the conservancies, the Wildlife Conservation Board, the state board, or other designated entities in consultation with the secretary.
- (c) At least 25 percent of the funds available pursuant to this section shall be allocated for projects that benefit disadvantaged communities.
- (d) Up to 10 percent of the funds available pursuant to this section may be allocated for project planning.

#### 79736.

Of the funds authorized by Section 79730, four hundred seventy-five million dollars (\$475,000,000) shall be available to the Natural Resources Agency to support projects that fulfill the obligations of the State of California in complying with the terms of any of the following:

- (a) Subsection (d) of Section 3406 of the Central Valley Project Improvement Act (Title 34 of Public Law 102-575).
- (b) Interstate compacts set forth in Section 66801 of the Government Code pursuant to Title 7.42 (commencing with Section 66905) of the Government Code.
- (c) Intrastate or multiparty water quantification settlement agreement provisions, including ecosystem restoration projects, as set forth in Chapters 611, 612, 613, and 614 of the Statutes of 2003.
- (d) The settlement agreement referenced in Section 2080.2 of the Fish and Game Code.
- (e) Any intrastate or multiparty settlement agreement related to water acted upon or before December 31, 2013. Priority shall be given to projects that meet one or more of the following criteria:
  - (1) The project is of statewide significance.
  - (2) The project restores natural aquatic or riparian functions, or wetlands habitat for birds and aquatic species.
  - (3) The project protects or promotes the restoration of endangered or threatened species.
  - (4) The project enhances the reliability of water supplies on a regional or interregional basis.
  - (5) The project provides significant regional or statewide economic benefits.

- (a) Of the funds authorized by Section 79730, two hundred eighty-five million dollars (\$285,000,000) shall be available to the Department of Fish and Wildlife for watershed restoration projects statewide in accordance with this chapter.
- (b) For the purposes of this section, watershed restoration includes activities to fund coastal wetland habitat, improve forest health, restore mountain meadows, modernize stream crossings, culverts, and bridges, reconnect historical flood plains, install or improve fish screens, provide fish passages, restore river channels, restore or enhance riparian, aquatic, and terrestrial habitat, improve ecological functions, acquire from willing sellers conservation easements for riparian buffer strips, improve local watershed management, and remove sediment or trash.
- (c) For any funds available pursuant to this section that are used to provide grants under the Fisheries Restoration Grant Program, a priority shall be given to coastal waters.
- (d) In allocating funds for projects pursuant to this section, the Department of Fish and Wildlife shall only make funds available for water quality, river, and watershed protection and restoration projects of statewide importance outside of the Delta.

- (e) Funds provided by this section shall not be expended to pay the costs of the design, construction, operation, mitigation, or maintenance of Delta conveyance facilities.
- (f) Funds provided by this section shall only be used for projects that will provide fisheries or ecosystem benefits or improvements that are greater than required applicable environmental mitigation measures or compliance obligations, except for any water transfers for the benefit of subsection (d) of Section 3406 of the Central Valley Project Improvement Act (Title 34 of Public Law 102-575).

- (a) Of the funds authorized by Section 79730, eighty-seven million five hundred thousand dollars (\$87,500,000) shall be available to the Department of Fish and Wildlife for water quality, ecosystem restoration, and fish protection facilities that benefit the Delta, including, but not limited to, the following:
  - (1) Projects to improve water quality or that contribute to the improvement of water quality in the Delta, including projects in Delta counties that provide multiple public benefits and improve drinking and agricultural water quality or water supplies.
  - (2) Habitat restoration, conservation, and enhancement projects to improve the condition of special status, at risk, endangered, or threatened species in the Delta and the Delta counties, including projects to eradicate invasive species, and projects that support the beneficial reuse of dredged material for habitat restoration and levee improvements.
  - (3) Scientific studies and assessments that support the Delta Science Program, as described in Section 85280, or projects under this section.
- (b) In implementing this section, the department shall coordinate and consult with the Delta city or Delta county in which a grant is proposed to be expended or an interest in real property is proposed to be acquired.
- (c) Acquisitions pursuant to this section shall be from willing sellers only.
- (d) In implementing this section state agencies shall prioritize wildlife conservation objectives through projects on public lands or voluntary projects on private lands, to the extent feasible.
- (e) Funds available pursuant to this section shall not be used to acquire land via eminent domain.
- (f) Funds available pursuant to this section shall not be expended to pay the costs of the design, construction, operation, mitigation, or maintenance of Delta conveyance facilities.

# **Appendix C: State Coastal Conservancy**

The Conservancy provides technical assistance through its staff and provides grant funds to help develop and implement projects that achieve its goals. The Conservancy's enabling legislation, Division 21 of the Public Resources Code, authorizes the Conservancy to undertake projects and award grants to advance a number of goals, including:

- protecting the natural and scenic beauty of the coast;
- improving water quality;
- enhancing wildlife habitats;
- helping people get to and enjoy beaches and parklands;
- keeping farmland and timberlands in production;
- revitalizing working waterfronts;
- assisting communities to prepare for the impacts of climate change, including sea level rise.

Every five years, the Conservancy adopts a Strategic Plan, which identifies the Conservancy's goals and specific objectives. The current <u>Strategic Plan</u> was adopted in 2013 to guide the Conservancy's work through 2018. All Conservancy funded grants must advance specific objectives in the Conservancy's Strategic Plan.

The Conservancy <u>adopted an update to its Strategic Plan</u> that identified priorities for Proposition 1 expenditure on June 25, 2015. The Conservancy's <u>Strategic Plan Update</u> calls for the prioritization of multi-benefit projects for Proposition 1 grants, consistent with the language of the bond. Most state agencies have a statewide jurisdiction, but a relatively focused mission. The Coastal Conservancy has a more focused jurisdiction (about 33% of the state and 75% of its population) but a broad mission, including agricultural conservation, recreation, ecological conservation and climate change adaptation. This structure positions the Conservancy well to implement multi-benefit projects.

Based on the priority issues within our jurisdiction, reviewing existing state plans, and screening for projects that achieve multiple benefits, serve disadvantaged communities, and result in quantifiable outcomes, the Conservancy identified four priorities for Proposition 1 expenditures. More detailed analysis of the overlap of these criteria is provided in the Strategic Plan update. The four priorities are:

- Water Sustainability
- Protect and Enhance Anadromous Fish Habitat
- Wetland Restoration
- Urban Greening

# State Coastal Conservancy Project Selection Criteria As Adopted by the Coastal Conservancy on October 2, 2014

#### **REQUIRED CRITERIA**

- Promotion of the Conservancy's statutory programs and purposes
- Consistency with purposes of the funding source
- Promotion and implementation of state plans and policies (specific plans and policies that are being considered or implemented)
- Support from the public
- Location (must benefit coastal, ocean resources or the San Francisco Bay region)
- Need (desired project or result will not occur without Conservancy participation)
- Greater-than-local interest
- Sea level rise vulnerability (Consistent with Executive Order S-13-08, for new projects located in
  areas vulnerable to future sea level rise, planning shall consider a range of sea level rise scenarios in
  order to assess project vulnerability and, to the extent feasible, reduce expected risks and increase
  resiliency to sea level rise.)

#### **ADDITIONAL CRITERIA**

- Urgency (threat to a coastal or ocean resource from development or natural or economic conditions; pressing need; or a fleeting opportunity)
- Resolution of more than one issue
- Leverage (contribution of funds or services by other entities)
- Conflict resolution
- Innovation (for example, environmental or economic demonstration)
- Readiness (ability of the grantee and others to start and finish the project timely)
- Realization of prior Conservancy goals (advances previous Conservancy projects)
- Return to Conservancy (funds will be repaid to the Conservancy, consistent with the Conservancy's long-term financial strategy)
- **Cooperation** (extent to which the public, nonprofit groups, landowners, and others will contribute to the project)
- Minimization of greenhouse gas emissions (project design and construction methods include measures to avoid or minimize greenhouse gas emissions to the extent feasible and consistent with the project objectives)
- Vulnerability from climate change impacts other than sea level rise (project objectives, design, and siting consider and address vulnerabilities from climate change impacts other than sea level rise)

# Appendix D: Key State, Federal, and Regional Plans and Priorities

The following identifies and provides detail about statewide plans and policies that are consistent with the Conservancy's mission and strategic plan objectives. This listing is not intended to be exhaustive. There may be other existing statewide plans or policies that are consistent with the Conservancy's mission and objectives. Moreover, in the future new statewide plans and policies will be adopted that will also be relevant to Proposition 1 implementation.

#### **Governor's Executive Orders** -

- Executive Order S-13-08 directed all state agencies planning construction projects in areas vulnerable to future sea level rise to plan and consider a range of sea level rise scenarios for the years 2050 and 2100 in order to assess project vulnerability and, to the extent feasible, reduce expected risks and increase resiliency to sea level rise.
- Executive Order B-30-15 established a new interim statewide greenhouse gas emission reduction target to reduce greenhouse gas emissions to 40 percent below 1990 levels by 2030 and to 80 percent below 1990 levels by 2050. This order also directed state agencies to make climate change into account in their planning and investment decisions and to give priority to actions that both build climate preparedness and reduce greenhouse gas emissions.

#### **California Water Action Plan**

California Natural Resources Agency, the California Environmental Protection Agency, and the California Department of Food and Agriculture developed this Water Action Plan to meet three broad objectives: more reliable water supplies, the restoration of species and habitat, and a more resilient, sustainably manager water resources system. It lays out the state's challenges, goals and actions needed to put California's water resources on a safer, more sustainable path. The plan identifies ten overarching strategies to protect our resources

#### <u>Safeguarding California Climate Adaptation Plan</u>

The Safeguarding California Plan provides policy guidance for state decision makers, and is part of continuing efforts to reduce impacts and prepare for climate risks. This plan highlights climate risks in nine sectors in California, discusses progress to date, and makes sector-specific recommendations.

<u>California @ 50 Million: The Environmental Goals and Policy Report</u> (2013 Draft): This report contains a 20- to 30-year overview of projected growth in the state, along with goals and objectives for land use, population growth and distribution, development, natural resources, conservation, and air and water quality. The goals are consistent, as required, with state planning priorities identified in AB 857.

#### **State and Federal Species and Habitat Protection Plans**

- <u>California Wildlife Action Plan</u> (2015 update underway). The Wildlife Action Plan creates a
  vision for fish and wildlife conservation, identifies species of greatest conservation need, and
  recommends actions that are implementable, measurable, and time bound.
- California Aquatic Invasive Species Management Plan (2008) This California DFW plan proposes 163 actions to address the environmental and economic threats cause d by aquatic species in

- California. The Conservancy supports invasive species control actions when the invasive is a serious threat to coastal resources.
- California Essential Habitat Connectivity Strategy for Conserving a Connected California (2010)
   This Plan was produced by California Department of Transportation, and California Department of Fish and Wildlife in collaboration with many other agencies, including the Coastal Conservancy, as well as non-governmental organizations. The plan and associated map identifies 850 natural landscape blocks and 192 essential connectivity areas. It focuses attention on large areas important to maintaining ecological integrity at the broadest scale, and recommends regional and local analysis to refine the linkages map and to identify additional areas important to sustaining ecological connectivity.

#### **State and Federal Species Recovery Plans**

- <u>Central California Coast Coho Salmon Recovery Plan</u>. NOAA Fisheries published this
  collaboratively developed in September 2012. Based on the biological needs of the fish, it
  provides the foundation for restoring the populations to healthy levels.
- <u>Draft Southern Oregon/Northern California Coast Coho Salmon: 2014 Recovery Steps for California Fisheries Restoration Grant Program</u>. NOAA Fisheries is preparing to adopt a collaboratively developed recovery plan for Northern California Coast Coho Salmon in the near future.
- South-Central/Southern California Coast Steelhead Recovery Plan. NOAA Fisheries adopted a
  collaboratively developed recovery plan for Southern California Coast steelhead in 2012 and a
  recovery plan for South-Central California Coast Steelhead in 2013.
  Steelhead Restoration and Management Plan. The California Department of Fish and Wildlife
  completed this plan in 1996; the plan focuses on restoration of native and naturally produced
  (wild) stocks because these stocks have the greatest value for maintaining genetic and biological
  diversity. Goals for steelhead restoration and management are:
  - Increase natural production as mandated by The Salmon, Steelhead Trout, and Anadromous Fisheries Program Act of 1988, so that steelhead populations are selfsustaining and maintained in good condition; and
  - Enhance angling opportunities and non-consumptive uses.
- <u>Recovery Strategy for California Coho Salmon.</u> The California Department of Fish and Wildlife
  completed this report to the California Fish and Game Commission in February 2004. The CDFW
  collaboratively developed the Recovery Strategy for California Coho Salmon (Oncorhynchus
  kisutch) as a guide for the process of recovering coho salmon on the north and central coasts of
  California.
- <u>Recovery Plan for Tidal Marsh Ecosystems of Northern and Central California</u>. U.S. Fish and
  Wildlife Service released this plan in 2013, focused on federally-listed species that depend on
  tidal marshes in San Francisco Bay and tidal marshes along the Northern and Central California
  coast.
- <u>Recovery Plan for the Southern Sea Otter</u>. U.S. Fish and Wildlife revised this recovery plan in 2003 for the endangered sea otter. Key objectives address range expansion, response to oil spills, contaminants, and threats from fishing debris.
- <u>Recovery Plan for California Red Legged Frog</u>. This 2002 recovery plan by the U.S. Fish and
  Wildlife Service identifies seven priority actions for recovery including protect suitable habitat,
  corridors, and core areas; and protect known populations and reestablish populations.

#### State Supported, Collaboratively Developed Eco-Regional and Watershed Plans:

• Natural Community Conservation Plans and Habitat Conservation Plans: As of June 2013, there were 45 regional conservation plans. Habitat Conservation Plans integrate land-use

activities and conservation goals to reduce conflicts between listed species and economic development, and are required for incidental take permits. Natural Community Conservation Plans (NCCPs) are broader in their orientation and objectives than the California and Federal Endangered Species Acts, which focus on individual species rather than natural communities. The primary objective of the Habitat Conservation Plan (HCP) program is to conserve species and the ecosystems they depend on while streamlining permitting under the endangered species act. Conservancy may support implementation actions called in the plans located within its jurisdiction.

- Pacific Coast Joint Venture <u>Strategic Plan Northern Coastal California</u> (2004). The Pacific Coast Joint Venture facilitates and coordinates public and private partners in accomplishing activities that support the goals for the North American Waterfowl Management Plan along the Pacific Flyway, and includes member organizations from Alaska, Canada, Washington, Oregon, Hawaii and Northern California. The Northern California Component of the Strategic Plan provides recommended conservation actions for important bird habitat in Mendocino, Humboldt and Del Norte counties.
- <u>San Francisco Estuary Watersheds Evaluation</u>, by the Center for Ecosystem Management and Restoration (2007). This report, funded by the State Coastal Conservancy, assembles for the first time all readily available information regarding steelhead habitat in tributaries of the San Francisco Estuary. Watersheds are screened for "anchor watershed" status, indicating their relative importance in restoring the regional steelhead population.
- San Francisco Estuary Comprehensive Conservation and Management Plan (approved by the Governor and U.S. EPA Administrator in 1993, updated in 2007, a new update scheduled for 2016). Representatives from state and federal agencies and private and community groups in the twelve-county Bay Delta region came together and through a consensus-based process developed this plan, a blueprint for restoring and maintaining the estuary through corrective actions in nine program areas. It seeks to achieve high standards of water quality, including restoration and maintenance of a balanced indigenous population of fish and wildlife, and to support recreational activities. The Conservancy assists in implementing five of the nice program areas, including aquatic resources, wildlife, wetlands management, and watershed management.
- San Francisco Bay Subtidal Habitat Goals 50 Year Conservation Plan (2010). The purpose of this plan is to achieve a net improvement of the subtidal ecosystem in the San Francisco Bay through science-based protection and habitat restoration. Led by state and federal agencies, more than 75 scientists and others contributed to the development of science, protection, and restoration goals for six subtidal habitats including soft substrate, rock, artificial structures, shellfish beds, submerged aquatic vegetation, and macro-algal beds. The Conservancy is assisting with implementing many of the goals including sea grass and oyster restoration, and removal of creosote pilings.
- San Francisco Baylands Ecosystem Habitat Goals Report (1999) and San Francisco Baylands Ecosystem Habitat Goals Technical Update (expected release, late 2015) These reports were each prepared by a large consortium of public agencies and scientists and resulted in specific goals for acreages and habitat types for baylands around the San Francisco Bay. The Conservancy has been a major implementer of the goals through its support and leadership in wetland restoration projects including the Napa Salt Marsh, Hamilton, and the South Bay Salt Ponds. The technical update contains a set of far-reaching management recommendations for Bay Area shorelines to restore and maintain these vital ecosystems in the face of climate change, including their role in building resilience to sea level rise.

- San Francisco Bay Area Upland Habitat Goals Project and Conservation Lands Network(2011). The Upland Goals Project is a project of the Bay Area Open Space Council funded in part by the Coastal Conservancy. It is a collaboratively developed science-based landscape-level conservation plan for the nine-county Bay Area region. Multiple factors, including conservation targets, goals, land use, adjacency to protected lands, and the ecological integrity of the landscape were assessed to identify "essential" and "important" areas. These are depicted on a web-based map, the Conservation Lands Network, which can be used by agencies and organizations to prioritize conservation activities within the region. Climate change impacts to the habitat goals has been a major focus of the Terrestrial Biodiversity and Climate Change Collaborative (TBC3), a group of university, nonprofit and governmental researchers in the San Francisco Bay Area that conducts research, monitoring and outreach to enhance conservation and land management in the face of climate change. The Open Space Council has participated in the project since the beginning and is incorporating the results into the Conservation Lands Network Explorer.
- Critical Linkages: Bay Area and Beyond Project (2013). Led by Science and Collaboration for Connected Wildlands, with the participation of the Bay Area Open Space Council and others, this project complements the statewide essential connectivity plan by incorporating the habitat movement needs of over 60 species and animals for priority landscape linkages within the counties of the San Francisco Bay Area and San Benito, Monterey, Mendocino, and Lake. It identifies 14 landscape level connections that together with the Conservation Lands Network provide a comprehensive plan for such a regional network.
- <u>Bay Area Integrated Regional Water Management Plan.</u> Prepared initially in 2006 and updated in 2014 with assistance from the Conservancy in collaboration with the Department of Water Resources (DWR), this plan identifies major regional water resource needs and priorities for the nine-county San Francisco Bay Area region.
- Santa Cruz Integrated Watershed Restoration Program. Developed by the Santa Cruz County Resource Conservation District (RCD), Coastal Conservancy, California Department of Fish and Game (CDFG), Coastal Watershed Council, and the City and County of Santa Cruz as a voluntary framework in 2002, this program is heralded as a model for collaborative, integrated watershed conservation. Since IWRP's inception, the RCD and its partners have been able to design, permit, and construct over 80 water quality improvement and habitat restoration projects throughout the County. The Conservancy has supported many of these projects, as well as expansion of the program into San Mateo and Monterey Counties.
- Southern California Wetlands Recovery Project. The Wetlands Recovery Project is a partnership of 18 state and federal agencies working together to acquire and restore rivers, stream, and wetlands in Southern California from Point Conception to the border with Mexico. The Regional Strategy, currently being updated, articulates the long-term goals and specific implementation strategies to guide the efforts of the WRP and its partners. The WRP identifies wetland acquisition and restoration priorities, prepares plans for priority projects, pools funds to undertake these projects and coordinates post-project maintenance and monitoring. The WRP is chaired by the Resources Agency and staffed by the Coastal Conservancy, which implement many of the high priority projects.
- South Coast Missing Linkages (2008 and ongoing). This project, led by Science and
  Collaboration for Connected Wildlands, addresses fragmentation at the landscape scale. It
  identifies and prioritizes linkages that conserve essential biological and ecological processes.
  The linkages project has served as a catalyst for protection of ecological connectivity for the
  south coast ecoregion. There have been 11 detailed and implementable linkage designs

developed using focal-species based analysis. The Conservancy can support implementation of some of these designs.

<u>California Coastal Sediment Management Master Plan.</u> A multi-agency work group is engaged in an ongoing collaborative effort to evaluate California's coastal sediments management needs and to promote regional system-wide solutions. The master plan is being developed through a series of region-specific sediment master plans. The Conservancy has contributed to development and implementation of some of the regional plans.

<u>State Agency and Multi-Agency Strategic Plans</u> – In addition to the Coastal Conservancy strategic plan, many Conservancy supported projects implement elements of other agency strategic plans.

- <u>California Coastal Commission</u>
- San Francisco Bay Conservation and Development Commission
- Ocean Protection Council
- <u>San Francisco Estuary Partnership Strategic Plan</u> This strategic plan identifies goals and objectives for implementing the *San Francisco Estuary Comprehensive Conservation and Management Plan*.

# **Appendix E:** Summary of Expedited/Simplified Permitting for Voluntary Habitat Restoration Projects

Agency/ Authority	Permit/ Approval	Project Size Limits	Activities Covered	Location	Benefits/ Details
California Environmental Quality Act (CEQA)	Categorical Exemption 15333 for Small Habitat Restoration Projects	≤ 5 acres	Fish, plant, and wildlife habitat restoration	Statewide	> Faster/lower cost alternative to CEQA document (i.e., Initial Study/Negative Declaration)
California Coastal Commission (CCC)	Federal Consistency Determination (CD) – North & Central Coasts (With proposed southern expansion)	Small to Large	Salmonid habitat and related upland restoration Estuarine and coastal restoration	San Luis Obispo County to Oregon Border	> Faster/free alternative to obtaining a Coastal Development Permit (CDP) or individual project CD > Linked to NMFS Programmatic Biological Opinions; requires NOAA Restoration Center funding or technical assistance > Application Information
California Department of Fish & Wildlife (CDFW)	Habitat Restoration and Enhancement (HRE) Act / AB 2193	Currently ≤ 5 acres and 500 cumulative linear feet of streambank or coastline (linked to SWRCB 401 permit for Small Restoration Projects; see below)	Aquatic habitat restoration and water quality improvement projects	Statewide	> Fast and simple process: 30 day approval with SWRCB 401 for Small Restoration Projects, otherwise 60 days > Covers CA Endangered Species Act (CESA) and 1600 Lake and Streambed Alteration (LSAA) approvals through one application > HRE Act Guidance Document
California Department of Fish & Wildlife (CDFW)	Coho HELP Act	≤ 5 acres and 500 cumulative linear feet of streambank or coastline	Coho salmon habitat projects: wood placement to enhance fish habitat, bioengineered streambank restoration, and in- stream improvements (culvert upgrades, road crossings)	Coho Salmon Habitat	> Approval in 60 days or less > Covers CESA and LSAA approvals through one application
State Water Resources Control Board (SWRCB)	401 Water Quality Certification for Small Habitat Restoration Projects	≤ 5 acres and 500 cumulative linear feet of streambank or coastline (length subject to change with updates)	Aquatic habitat restoration and water quality improvement projects	Statewide	> Faster, simple process compared to standard 401 Water Quality Certification; coordinated with DFW's HRE and Coho HELP Acts (see above) > Must be eligible for CEQA categorical exemption 15333, though other CEQA compliance methods can be used



Agency/ Authority	Permit/ Approval	Project Size Limits	Activities Covered	Location	Benefits/ Details
National Marine Fisheries Service (NMFS)	Biological Opinion for the North Coast	Small to large projects limited to ≤ 1,000 feet of dewatering	Salmonid habitat and related upland restoration	Oregon Border to Mendocino County	> Faster/lower cost process: individual Biological Opinion not needed > Requires US Army Corps Permit or NOAA Restoration Center funding or technical assistance
National Marine Fisheries Service (NMFS)	Biological Opinion for the Central Coast (with proposed southern expansion)	Small to large projects limited to ≤ 1,000 feet of dewatering	Salmonid habitat and related upland restoration	Mendocino to San Luis Obispo County	> Faster/lower cost process: individual Biological Opinion not needed > Requires US Army Corps Permit or NOAA RC funding or technical assistance
US Army Corps of Engineers	Nationwide Permits (NWPs) 13, 27, 33	NWP 13 - 500 cumulative linear ft of streambank or coastline (unless waived); No size limits for NWP 27 & 33	NWP 13 - Bank Stabilization NWP 27- Aquatic Habitat Restoration NWP 33- Temporary Construction Access and Dewatering	Statewide	> Efficient way to acquire Section 10 Rivers and Harbors Act and Section 404 Clean Water Act permitting when other regional/statewide permits aren't available
US Army Corps of Engineers	Regional General Permits (RGPs) 41, 70	No Size Limits	RGP 41 – Invasive Plant Removal RGP 70 - Bioengineered Streambank Stabilization	Los Angeles Corps District	> Faster approval than Nationwide Permits > Efficient way to acquire Section 10 Rivers and Harbors Act and Section 404 of the Clean Water Act permitting
US Fish & Wildlife Service	Programmatic Biological Opinions for Listed Species	Generally corresponds with US Army Corps NWP size limits	Activities conducted under US Army Corps NWPs-13, 27, and/or 33 (see above) are typically covered	Napa to Santa Cruz Counties	> Saves substantial time/resources since individual Biological Opinion not needed > Covers Federal Endangered Species Act (ESA) permitting for California Red-Legged Frog and Central California DPS tiger salamander
Resource Conservation District (RCD) Partners in Restoration Programs (PIR)	A variety of permits available (e.g., SWRCB, US FWS, NMFS BOs)	Generally ≤ 5 acres	Fish, plant and wildlife habitat restoration and water quality improvement projects	Mendocino, Marin, SLO, Cachuma, Yolo and Alameda RCDs	> Consolidated permitting program managed by RCDs

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